



Kathy Ingot, Chief Compliance Officer

Kathy joined Bruce Markets LLC in June 2025. In her role as Chief Compliance Officer, Kathy leads the company's commitment to upholding the highest standards of ethical conduct and regulatory compliance. Kathy is recognized for her expertise in electronic trading and brings deep experience in Alternative Trading System (ATS) compliance from major global banks. Prior to joining Bruce Markets, Kathy worked at Citigroup as Global Head of Electronic Trading Compliance, covering all asset classes. From 2017 to 2019, she worked at UBS Securities LLC as Global Head of Electronic Trading and Operational Risk, including coverage of UBS ATS, then the largest ATS in the country. Before joining UBS, she started and spent the majority of her career at Goldman Sachs, where she began in FICC and moved into Equity Compliance as Vice President. She worked at Goldman Sachs for 13 years, covering both of the firm's ATSS (Sigma X and Sigma X2) in that time. Kathy earned a Bachelor's degree from Pace University and a JD from New York Law School. She holds several industry registrations, including Series 7, 63, and 24.