



Andrew Tournay, Chief Compliance Officer

Andrew has an established career leading compliance and risk management programs for financial services firms. As Chief Compliance Officer for Apex Fintech Solutions, Andrew leads the enterprise compliance function, including a global team supporting regulatory and compliance matters across Apex. Prior to Apex, Andrew served as the Chief Compliance Officer at PEAK6 Investments, where he led the compliance programs for all regulated PEAK6 businesses over an 11-year tenure. Having served as interim Chief Compliance Officer (CCO) for Apex in 2021 and as the CCO of Apex Crypto from its launch until its sale to Bakkt, Andrew advised Apex on various regulatory matters for Apex. Before his time at PEAK6, Andrew spent eight years at FINRA, gaining significant broker-dealer regulatory expertise, and nearly two years as a regulatory consultant with Ernst & Young. Andrew is a graduate of Fordham University and the holder of the Certified Regulatory and Compliance Professional (CRCP) and Certified Anti-Money Laundering Specialist (CAMS) certifications.