Prediction Markets: A Turnkey Way to Trade Headline Events

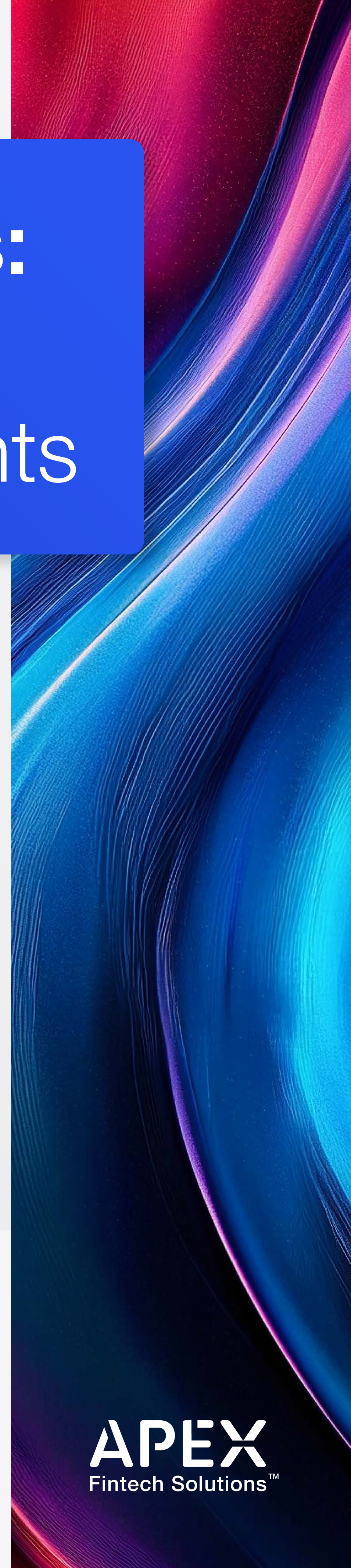
Integrated. Simplified. 24/7.

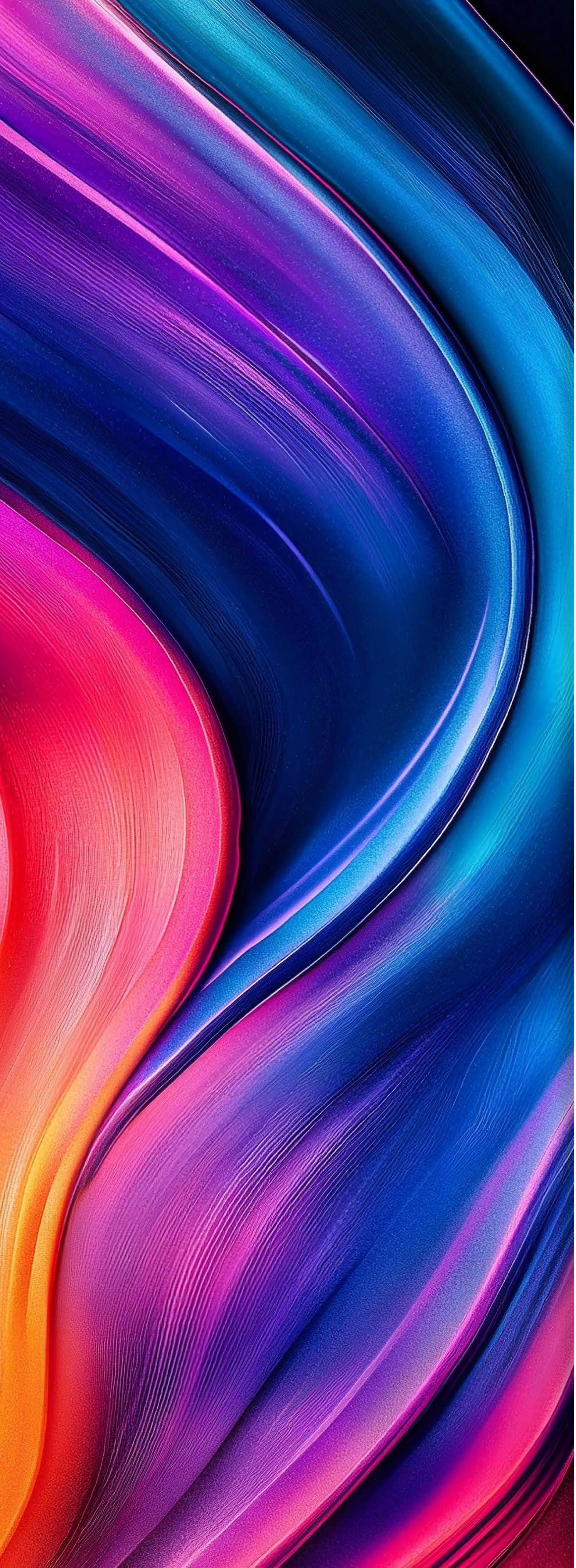
Offer your clients a way to trade on binary real-world outcomes with Apex's Prediction Markets solution,[1] a fully integrated solution for Commodity Futures Trading Commission (CFTC) regulated event contracts (also called "cleared swaps"). We are excited to unlock this prediction markets opportunity — for sports leagues, entertainment, weather events, elections, politics, scientific developments, and more[2] — for businesses looking to grow by:

- Differentiating themselves from competitors by adding this asset class
- Increasing 24/7 platform engagement and trading volume, especially during evenings and weekends when traditional markets are closed[3]
- Creating potential new revenue streams and increasing their platform or app "stickiness"

$13B Monthly Notional Value
in current event contracts activity[4]

A 130X Volume Increase
from early 2024 to late 2025[4]





Plug Into the Market, Don't Build It

Unlock access to prediction markets without building the complex infrastructure required to operate as a Futures Commission Merchant (FCM) and connect with leading prediction market platforms — because Apex has already done it for you.

Apex acts as the clearing Futures Commission Merchant (FCM) — the regulated entity that takes on the operational heavy lifting for you — allowing your business to offer a streamlined experience. Here's how it works:

- Your client places a prediction market order
- The order is routed to the appropriate prediction market exchange, known as the Designated Contract Market (DCM)
- The DCM "matches" and executes the trade
- The Derivative Clearing Organization (DCO) clears the trade and sends Apex a confirmation
- Apex books the trade on the Ascend Ledger, clears the trade, sends investors with open positions daily statements, updates the position in investors' portfolios, and custodies and clears the event contracts

Getting Started With Prediction Markets

Your business must complete the following steps to offer event contracts through Apex:

- Integrate with Apex AscendOS™, our cloud-native clearing, custody, and trading infrastructure
- Access our Developer Portal to leverage our APIs, SDKs, documentation, code samples, quick-start guides, and AI assistant
- Build the prediction markets UIs to match the rest of your digital investing experience
- Complete the [National Futures Association (NFA) requirements]
- Employ a person holding an active [FINRA Series 3 license]

Key Benefits

Fast-Track Your Prediction Markets Offering

- Reduce your time-to-market with a simplified integration instead of a start-from-scratch build
- Add a new asset class using a familiar Apex API structure if you're already an AscendOS user
- Connect with additional prediction market exchanges (called DCMs) as Apex expands our integrations
- Bypass the heavy lift required to build and maintain separate, complex direct integrations with each prediction market exchange

Simplify Operations

- Offer regulated event contracts without building your own Futures Commission Merchant (FCM) infrastructure because Apex acts as your FCM
- Streamline opening a separate AscendOS brokerage account created exclusively to hold these CFTC-regulated assets
- Rely on timely settlement and payout of funds after expiration of the event
- Count on the real-time Ascend Ledger to support prediction markets along with other asset types, such as equities, ETFs, mutual funds, fixed income, and more

Enhance the Investor Experience

- Enhance digital client engagement and create potential new revenue streams
- Build your UI to provide a unified view across traditional securities and event contracts, aggregating the total value of accounts in a unified activity feed
- Offer 24/7 access to trading event contracts[3]
- Enable API-based order management for a seamless trading experience

Want to Unlock New Sources of Trading Volume?

Capture engagement that traditional assets miss by enabling your clients to trade on real-world outcomes 24/7. Schedule a call to learn how our turnkey prediction markets solution can help you attract new and retain existing clients.

Let's Talk

[1] Apex Clearing Corporation is a CFTC-registered Futures Commission Merchant and NFA member; futures and event contract trading involves substantial risk and is not suitable for all investors. Participants risk losing their entire investment, including fees, and should carefully consider whether trading is appropriate given their experience and financial resources. The legal landscape for event contracts continues to evolve, and state or federal actions —including enforcement proceedings—could result in trading halts, mandatory liquidations, or position cancellations with little advance notice. Please read the full Risk Disclosure Statement and Event Contracts Risk Disclosure at https://apexfintechsolutions.com/legal/disclosures/ before trading.

[2] Sports-related event contracts may not be available in all U.S. states; offering is subject to state law, exchange listings, and regulatory approvals. Not available where prohibited.

[3] Trading is available 24/7 with exceptions for scheduled maintenance windows.

[4] Jaupi, Jona. The Defiant. "Prediction Market Monthly Volumes Grew 130x Since 2024: Keyrock, Dune." December 16, 2025. https://thedefiant.io/news/research-and-opinion/prediction-market-2025-growth-report-keyrock-dune

Apex Clearing Corporation, a wholly owned subsidiary of Apex Fintech Solutions Inc., is an SEC registered broker dealer, a member of FINRA and SIPC, and is licensed in 53 states and territories. Securities products and services referenced herein are provided by Apex Clearing Corporation. FINRA BrokerCheck reports for Apex Clearing Corporation are available at: http://www.finra.org/brokercheck

Nothing herein shall be construed as a recommendation to buy or sell any security. While we have made every attempt to ensure that the information contained in this document has been obtained from reliable sources, Apex is not responsible for any errors or omissions, or for the results obtained from the use of this information. All information in this document is provided "as is", with no guarantee of completeness, accuracy, timeliness or of the results obtained due to the use of this information, and without warranty of any kind, express or implied, including but not limited to warranties of performance, merchantability and fitness for a particular purpose. In no event will Apex be liable to you or anyone else for any decision made or taken in reliance on the information in this document or for any consequential, special or similar damages, even if advised of the possibility of such damages. Dissemination of this information is prohibited without Apex's written permission.

APEX Fintech Solutions™