APEX Fintech Solutions™

Apex Rebalancer:

Less operational effort. More tailored investing at scale.

Automate. Personalize. Streamline.

With Apex Rebalancer, you can use automations and push-button workflows to help clients get more sophisticated investment models like tax loss harvesting capabilities, direct indexing, and goals-based investing while minimizing the operational burden.

And you can do this within our stand-alone product even if you choose not to clear and custody with Apex.

Key Features

Automated and Real-Time Rebalancing

- ✓ Identify rebalancing needs and propose trades when out of threshold, including single security and fractional share rebalancing
- ✓ Dashboard to view summary information across accounts
- ✓ Detailed trade status across accounts

Goal-Based Automation

- ✓ Goal-based glide path automation
- ✓ Goals can have multiple account assignments
- ✓ Risk score–based automation available
- ✓ UI and API capabilities to assign accounts to goals

Key Features

Model Manager with Trading Thresholds

- ✓ Create and edit your own models, with rebalancing proposed when customer triggers are hit. Models can be nested into each other and allocated based on specific investor or tax profile — making model management more efficient across accounts of all sizes
- ✓ Set the thresholds based on pre-defined upper and lower bounds, down to the individual security level. Easily see all accounts that are outside desired thresholds

Model Marketplace

- ✓ Access a broad library of models from professional asset managers, allowing advisors to select models that align with their clients' objectives
- ✓ Lower costs and save time on portfolio construction with models that can help you scale a robust business profitably

Customizable Direct Indexing

- ✓ Firm-and client-level customized indices
- ✓ ESG/SRI overlays
- ✓ Tax loss harvesting capabilities
- ✓ Personalize portfolios based on unique client preferences, such as excluding specific industries, companies, or sectors

Trade Explanations

- ✓ Get detailed explanations with an audit trail for why each transaction is (or is not) proposed, including single security and fractional shares

Key Features

Robust API Integrations

- ✓ Available as a stand-alone solution or integrated with our clearing and custody platform
- ✓ Integrate with your existing workstation, including multiple custodians
- ✓ Manage diverse investment models, trigger intraday proposed trades, and provide broad access to advanced rebalancing capabilities

Order Management System Connectivity

- ✓ Including fractional share capabilities

All Available Without Custodian Branding

Take a Deeper Look

Start a Conversation

Apex Fintech Solutions is a fintech powerhouse enabling seamless access, frictionless investing, and investor education for all. Apex's suite of scalable solutions fuel innovation and evolution for hundreds of today's market leaders, challengers, change makers, and visionaries. The Company's digital ecosystem creates an environment where clients with the biggest ideas are empowered to change the world. Apex works to ensure their partners succeed on the frontlines of the industry via bespoke solutions through its Apex Clearing™, Apex Advisor Solutions™, and Apex Silver™ brands.

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