

Apex Rebalancer:

Less operational effort.
More tailored investing at scale.

Automate. Personalize. Streamline.

With Apex Rebalancer, you can use automations and push-button workflows to help clients get more sophisticated investment models like tax loss harvesting capabilities, direct indexing, and goals-based investing while minimizing the operational burden.

And you can do this within our stand-alone product even if you choose not to clear and custody with Apex.

Key Features

Automated and Real-Time Rebalancing

- ✓ Identify rebalancing needs and propose trades when out of threshold, including single security and fractional share rebalancing
- ✓ Dashboard to view summary information across accounts
- ✓ Detailed trade status across accounts

Goal-Based Automation

- ✓ Goal-based glide path automation
- ✓ Risk score-based automation available
- ✓ Goals can have multiple account assignments
- ✓ UI and API capabilities to assign accounts to goals

Key Features

Model Manager with Trading Thresholds

- ✓ Create and edit your own models, with rebalancing proposed when customer triggers are hit. Models can be nested into each other and allocated based on specific investor or tax profile — making model management more efficient across accounts of all sizes
- ✓ Set the thresholds based on pre-defined upper and lower bounds, down to the individual security level. Easily see all accounts that are outside desired thresholds

Model Marketplace

- ✓ Access a broad library of models from professional asset managers, allowing advisors to select models that align with their clients' objectives
- ✓ Lower costs and save time on portfolio construction with models that can help you scale a robust business profitably

Customizable Direct Indexing

- ✓ Firm-and client-level customized indices
- ✓ ESG/SRI overlays
- ✓ Tax loss harvesting capabilities
- ✓ Personalize portfolios based on unique client preferences, such as excluding specific industries, companies, or sectors

Trade Explanations

- ✓ Get detailed explanations with an audit trail for why each transaction is (or is not) proposed, including single security and fractional shares

Key Features

Robust API Integrations

- ✓ Available as a stand-alone solution or integrated with our clearing and custody platform
- ✓ Integrate with your existing workstation, including multiple custodians
- ✓ Manage diverse investment models, trigger intraday proposed trades, and provide broad access to advanced rebalancing capabilities

Order Management System Connectivity

- ✓ Including fractional share capabilities

All Available Without Custodian Branding

Take a Deeper Look

Start a Conversation

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