



Miscellaneous Services Pricing Term Sheet

Apex Fintech Brokerage Services

Pricing Current as of November 8, 2024

Price levels are current as of the date of delivery of this document to you, the Correspondent. All fees are subject to change without notice. Miscellaneous Services fees are amounts due to Apex Fintech Brokerage Services ("Apex") and do not count towards any minimum charges you may owe to Apex.

I. Client Charged Miscellaneous Services

Client Requested Document Copies	\$5.00 each
Return Mail	\$3.00 per piece
Paper Statement Insert	\$0.25 per mailed pg/acct/month
Paper Prospectus Mailing	\$3.50 per mailing
Overnight Mail – Domestic	\$50.00 per request
Overnight Mail – International (including Canada)	\$100.00 per request
Paper Tax Statements	\$3.00 per statement

II. Customer Charged Miscellaneous Services

Customer Charged Miscellaneous Services are fees that are due to Apex from your customer. If there are insufficient assets in a customer account to pay for the service performed, then Advisor will be charged for the service.

A. Retirement:

Annual IRA Maintenance Fee	\$25 per account
IRA Closing Fee (incl. Outgoing ACAT Transfer)	\$125 per account

Outgoing Wire Transfers (Domestic Bank)	\$25.00 per wire
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Outgoing Wire Transfers (Foreign Bank)	\$50.00 per wire
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Paper Check Draft (USD) Domestic	\$5.00 per check
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Paper Check Draft (USD) International	\$10.00 per check
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C. Operations:

Electronically Delivered Documents

Confirms	No Charge
Statements	No Charge
Tax Statements	No Charge

Postage and Handling (Paper Only)

Confirms	\$2.00 per confirm
Statements (monthly and quarterly)	\$5.00 per statement

Partial ACAT Transfers

\$50.00 per account

Outgoing ACAT Transfers (Non-Retirement)

\$75.00 per account

TAF Fees:

\$0.000166 per share with a maximum charge of \$8.30 per trade

SEC Fees: \$22.90 per \$1,000,000 of principal (sells only), rounded up to the nearest penny