



DISCLOSURE
SIPC INFORMATION

❖ FINRA Rule 2266

Apex Clearing Corporation (“Apex”) is a member of the Securities Investor Protection Corporation (“SIPC”) which protects the securities customers of its members up to \$500,000, including a maximum of \$250,000 for claims of cash. Customers may obtain information about SIPC, including the SIPC brochure, at sipc.org or by calling 1-202-371-8300.

Apex also maintains additional insurance to supplement SIPC protection, widely referred to as “excess SIPC”, with an aggregate firmwide limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. This additional insurance policy becomes available to securities customers if SIPC limits are exhausted.

Please note that both SIPC and excess SIPC coverage protect against the loss of securities and cash due to the insolvency of a brokerage firm, not against trading losses or fluctuations/depreciation in the market value of customer securities.