

# Miscellaneous Services Pricing Term Sheet

## Apex Direct

### Pricing Current as of November 8, 2024

Price levels are current as of the date of delivery of this document to you, the Correspondent. All fees are subject to change without notice. Miscellaneous Services fees are amounts due to Apex and do not count towards any minimum charges you may owe to Apex. Apex reserves the right to waive any of these fees.

#### I. Client Charged Miscellaneous Services

Client Requested Document Copies	\$5.00 each
Return Mail	\$3.00 per piece
Paper Statement Insert	\$0.25 per mailed pg/acct/month
Paper Prospectus Mailing	\$3.50 per mailing
Overnight Mail – Domestic	\$50.00 per request
Overnight Mail – International (including Canada)	\$100.00 per request
Paper Tax Statements	\$3.00 per statement

#### II. Customer Charged Miscellaneous Services

Customer Charged Miscellaneous Services are fees that are due to Apex from your customer. If there are insufficient assets in a customer account to pay for the service performed, then Advisor will be charged for the service.

##### A. Retirement:

Annual IRA Maintenance Fee	\$25 per account
IRA Closing Fee (incl. Outgoing ACAT Transfer)	\$125 per account

##### B. Banking:

Outgoing Wire Transfers (Domestic Bank)	\$25.00 per wire
Outgoing Wire Transfers (Foreign Bank)	\$50.00 per wire
Paper Check Draft (USD) Domestic	\$5.00 per check

Paper Check Draft (USD) International	\$10.00 per check
Returned Checks, ACH, Wires and Recalls (Including amendments/repairs)	\$30.00 per item
ACH Notice of Correction (Electronic)	No Charge
Stop Payments on Apex Issued Checks	\$30.00 each
Check Copies	\$15.00 each
Third Party Distribution Notification	No Charge
Third Party Journal (TPJ)	\$0.05 per journal

**C. Operations:**

Electronically Delivered Documents	
Confirms	No Charge
Statements	No Charge
Tax Statements	No Charge
Postage and Handling (Paper Only)	
Confirms	\$2.00 per confirm
Statements (monthly and quarterly)	\$5.00 per statement
Partial ACAT Transfers	\$50.00 per account
Outgoing ACAT Transfers (Non-Retirement)	\$75.00 per account
Incoming ACAT Transfers	No Charge
Limited Partnerships/Private Placements (IRAs only)	\$250.00 per investment

**TAF Fees:**

\$0.000166 per share with a maximum charge of \$8.30 per trade

**SEC Fees:**

\$22.90 per \$1,000,000 of principal (sells only), rounded up to the nearest penny