APEX
Fintech Solutions™

Apex Advisor Solutions

Apex Rebalancer

Automation. Trade Explanations. Customization at Scale.

Simplify and automate the investing process across your full book of client accounts — through model management, rebalancing, tax loss harvesting capabilities, trade execution and more. Get detailed explanations with an audit trail for why each transaction is (or is not) proposed — including fractional shares, and access to direct indexing and ESG/SRI information.

Less Effort. More Real-Time Data.

We make it easier to rebalance portfolios by delivering access to multiple cloud-based rebalancing solutions through APIs and third-party integrations.

With Apex Rebalancer, advisors can make decisions using timely data via real-time books and records — then apply those decisions at scale.

Key Features

Customizable Settings and Standard Models

- Fractional and whole share capabilities
- Asset location preference
- Firm- and advisor-level permissions
- Configuring threshold bands

Direct Indexing Access

- Firm- and client-level customized indices
- ESG/SRI overlays
- Tax loss harvesting capabilities

Goal-Based Automation

- Goal-based glide path automation
- Goals can have multiple account assignments
- Risk score–based automation available
- UI and API capabilities to assign accounts to goals

View Client Holdings and Targets

- Client-specific tax and rebalancing settings
- Client, goal, and account-level asset allocation
- Pre-built back-office dashboards
- Aggregate client holdings

Rebalancing Automation

- Real-time rebalancing
- Dashboard to view summary information across accounts
- Detailed trade status across accounts

Key Benefits

Provide a better client experience

Can help facilitate more personalized and tailored client conversations

Increase productivity

Automation can transform efficiency, enabling rebalancing across hundreds of thousands of accounts

Scale up with greater ease

The ability to grow your firm by refocusing advisors on business development

Help future-proof your business

Attract younger advisors and clients with a modern portfolio management and investment management tools

Address business value

Tap into the power of automation and a modern tech stack to focus on growth and profitability

Take the Next Step

Learn More

FAQ

What does Apex Rebalancer do?

Apex Rebalancer offers a comprehensive set of automated features, including rebalancing, tax-loss harvesting capabilities, and direct indexing, to help ensure a seamless and efficient experience for our customers. Key functionalities encompass model management, goal-based investing, customizable settings tailored to each client, cash management, in-depth explanations and analysis of trade proposals, and a wide array of additional capabilities.

How does Apex Rebalancer benefit my firm?

We automate the entire portfolio management process, allowing advisors to focus their time and energy on serving their clients and growing their businesses.

How does Apex Rebalancer work?

With fully automated portfolio management, you have the ability to more easily create and assign investment models and goals, incorporate ESG/SRI preferences, perform rebalancing, manage tax-loss harvesting, and execute trades. This system is highly configurable, ensuring that each investor receives a tailored solution that addresses their financial requirements. Apex Rebalancer utilizes Thresholds to assess account eligibility for trading, employing four threshold types: securities, asset class, cash, and mandatory cash.

How does Apex Rebalancer differ from other Rebalancing solutions?

The Apex rebalancing solution automates many processes that are still managed manually with other rebalancers. Our streamlined solutions include real-time rebalancing with fractional shares, optimized direct indexing, and goal-based or risk-based glide path automation.

Why should I choose Apex Rebalancer over building my own Rebalancer and OMS?

Speed to market is the number-one reason to use Apex Rebalancer rather than building your own solution. With our flexible API integrations and dedicated team of and a dedicated team with years of expertise, we've created a solution that can handle the rebalancing needs of even the largest firms — and you can get up and running in weeks, not months.

How much does Apex Rebalancer cost?

For accounts held at Apex, there is no extra cost for using the rebalancer.

What if I already use a Rebalancer?

Switching to Apex Rebalancer is designed to be an easy and cost-effective way to modernize your rebalancing activities. Plus, you can still use your existing rebalancer while integrating with us.

Apex Fintech Solutions is a fintech powerhouse enabling seamless access, frictionless investing, and investor education for all. Apex's omni-suite of scalable solutions fuel innovation and evolution for hundreds of today's market leaders, challengers, change makers, and visionaries. The Company's digital ecosystem creates an environment where clients with the biggest ideas are empowered to change the world. Apex works to ensure their partners succeed on the frontlines of the industry via bespoke solutions through its Apex Clearing™, Apex Advisor Solutions™, and Apex Silver™ brands.

Apex Rebalancer services are provided to Apex clients using formulations based on the information provided by their investing customers. Apex relies on the accuracy and correctness of the information provided. Proposed transactions are for informational purposes only and shall not be construed as a recommendation or investment advice. All investments carry risk, including the possible loss of principal. Securities products and services are provided by Apex Clearing Corporation, a wholly owned subsidiary of Apex Fintech Solutions Inc. FINRA BrokerCheck reports are available at: http://www.finra.org/brokercheck

Nothing herein shall be construed as a recommendation to buy or sell any security. While we have made every attempt to ensure that the information contained in this document has been obtained from reliable sources, Apex is not responsible for any errors or omissions, or for the results obtained from the use of this information. All information in this document is provided "as is", with no guarantee of completeness, accuracy, timeliness or of the results obtained due to the use of this information, and without warranty of any kind, express or implied, including but not limited to warranties of performance, merchantability and fitness for a particular purpose. In no event will Apex be liable to you or anyone else for any decision made or taken in reliance on the information in this document or for any consequential, special or similar damages, even if advised of the possibility of such damages. Dissemination of this information is prohibited without Apex's written permission.

Apex complies with all federal and state tax reporting and withholding requirements. All information reported to Apex, and the withholding underlining what is reported therein, will be based on information supplied by the investor. Apex is not responsible for any errors in reporting that were the result of inaccurate information provided to Apex by the correspondent or the beneficial owner.

© 2024 Apex Fintech Solutions. All rights reserved. 6540222.1.11102036

APEX
Fintech Solutions™