



**DISCLOSURE  
INVESTOR EDUCATION AND PROTECTION**

❖ FINRA Rule 2267

NASD Rule 2280 requires Apex to provide information about FINRA's BrokerCheck program. An investor brochure that includes information describing the BrokerCheck program may be obtained from FINRA.

FINRA BrokerCheck Hotline: (800) 289-9999  
FINRA Website: [www.FINRA.org](http://www.FINRA.org).